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7590

10/02/2006

KENYON & KENYON
Suite 600
333 W. San Carlos Street
San Jose, CA 95110-2711

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Barbara Vance

(Depositor's name)

Barbara Vance

(Signature)

January 2, 2007

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/691,389	10/22/2003	Zhu Jian Zhan	12553/104	7107

TITLE OF INVENTION: SYSTEM AND METHOD FOR EDGE BLENDING HARD DRIVE HEAD SLIDERS

01/03/2007 TBESHAH2 00000005 110600 10691389

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEES DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	01/02/2007

EXAMINER	ART UNIT	CLASS-SUBCLASS
NGUYEN, DUNG V	3723	451-059000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Kenyon & Kenyon LLP

2

3

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

SAE Magnetics (H.K.) Ltd.

Shatin, N.T., Hong Kong

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies _____

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- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 11-0600 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Sumit Bhattacharya

Date January 2, 2007

Typed or printed name

Sumit Bhattacharya

Registration No. 51,469

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**FEE TRANSMITTAL
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT (\$)** 1,700.00

Complete if Known

Application Number	10/691,389
Filing Date	October 22, 2003
First Named Inventor	Zhu Jian ZHAN et al.
Examiner Name	Dung V. NGUYEN
Art Unit	3723
Attorney Docket No.	12553/104

METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
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FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	180	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid
Total Claims		-20 --		X	50.00	
Independent Claims		-3 --		X	200.00	
Multiple Dependent				X		

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	50	2202	25	Claims in excess of 20	
1201	200	2201	100	Independent claims in excess of 3	
1203	350	2203	180	Multiple dependent claim, if not paid	
1204	200	2204	100	** Reissue independent claims over original patent	
1205	50	2205	25	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
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1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
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1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable	
1453	1,500	2453	750	Petition to revive - unintentional	
1501	1,400	2501	685	Utility issue fee (or reissue)	1,400
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) **Publication Fee**

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

1,700.00

SUBMITTED BY

Name (Print/Type)

Sumit Bhattacharya

Registration No.
(Attorney/Agent)

51,469

Telephone

Complete (if applicable)

(408) 975-7500

Signature

Date

January 2, 2007

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San Jose, CA 95110
Direct Tel: (408) 975-7950
Facsimile: (408) 975-7501
sbhattacharya@kenyon.com

FACSIMILE TRANSMITTAL SHEET

TO:	FROM:
Examiner: Dung V. NGUYEN	Sumit Bhattacharya
COMPANY:	DATE:
USPTO	January 2, 2007
FAX NUMBER:	TOTAL NO. OF PAGES INCLUDING COVER:
(571) 273-2885	5
PHONE NUMBER:	SENDER'S REFERENCE NUMBER:
	12553/104
RE:	YOUR REFERENCE NUMBER:
Application No.: 10/691,389	Group Art Unit: 3723

☐ URGENT ☒ FOR REVIEW ☐ PLEASE COMMENT ☐ PLEASE REPLY ☐ CONFIRMATION
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Notes/Comments:**ISSUE FEE**

1. Fax Cover Sheet (1)
 2. Fee Transmittal (and one copy) (2)
 3. Issue Fee Transmittal (and one copy) (2)
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Dated: January 2, 2007

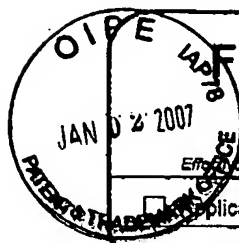
Signature: Barbara Vance

Barbara Vance

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Applicant claims small entity status. See 37 CFR 1.27

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Examiner Name	Dung V. NGUYEN
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METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None
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		Extra Claims		Fee from below		Fee Paid
Total Claims						
Independent Claims	-20 **	=		X	50.00	=
Multiple Dependent	-3 **	=		X	200.00	=
				X		=

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FEE CALCULATION (continued)

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SUBTOTAL (3) (S) **1,700.00**

SUBMITTED BY

Name (Print/Type)	Sumit Bhattacharya	Registration No. (Attorney/Agent)	51,469	Telephone	(408) 975-7500
Signature	<i>Sumit Bhattacharya</i>	Date	January 2, 2007		

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